

MAY 12 2005

PTO/SB/50 (09-02)

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**Request  
for  
Continued Examination (RCE)  
Transmittal**Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	08/688,708
Filing Date	10/27/2000
First Named Inventor	Srihar Kumar
Art Unit	3828
Examiner Name	Frantzy Poinvil
Attorney Docket Number	P3937

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

ii. ☐ Other \_\_\_\_\_

- b. ☒ Enclosed

i. ☒ Amendment(s)

iii. ☐ Information Disclosure Statement (IDS)

ii. ☐ Affidavit(s)/Declaration(s)

iv. ☐ Other \_\_\_\_\_

**2. Miscellaneous**

- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

- b. ☐ Other \_\_\_\_\_

**3. Fees**

The RCE fee under 37 CFR 1.17(c) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to

- a. ☒ Deposit Account No. 50-0534

i. ☐ RCE fee required under 37 CFR 1.17(c)

ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)

iii. ☒ Other \_\_\_\_\_ Authorization is given to charge additional fees or credit overpayments

- b. ☒ Check in the amount of \$395.00 enclosed

- c. ☐ Payment by credit card (37 CFR 1.2038 enclosed)

WARNING: Credit card information may become public. Credit card information should not be included on PTO-2038.

Name (Print/Type) \_\_\_\_\_  
Signature \_\_\_\_\_

**APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Signature \_\_\_\_\_ Date \_\_\_\_\_  
Registration No. (Attorney/Agent) 39,074  
Date 02/11/2005

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is addressed to: Mail Stop RCE, Commissioner for Patents, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type) \_\_\_\_\_  
Signature \_\_\_\_\_

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mail this correspondence to the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type) \_\_\_\_\_ Date \_\_\_\_\_  
Signature \_\_\_\_\_ Date 02/11/2005

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Adjustment Date: 07/28/2005 SDIRETAL  
06/01/2005 WILLIAM 00000002 500534 09698708  
01 PAGE 026 RCDV 950.00:CR04:52 PMT

USPTO-EFXRF-1/2 \*DNIS:8723305 \*CSID:8317263475 \*DURATION (mm:ss):08:02

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YDCC

**BEST AVAILABLE COPY****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
Art Unit: 3628 Examiner: Frantzy Poinvil

In Re: Srihari Kumar et al.  
Case: P3937  
Serial No.: 09/698,708  
Filed: 10/27/2000  
Subject: Interactive Activity for Managing Personal Data and Performing Transactions  
over a Data Packet Network

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR REFUND**  
**Deposit Account No. 500534 under 37 CFR §1.26**

Dear Sir:

Applicant respectfully requests a refund to deposit account 500534 in the amount of \$790.00.

Applicant responded on 05/12/2005 to an Advisory Action mailed in the above-referenced case on 04/08/2005. In conversation with the examiner it was decided that the Advisory Action was issued in error as the applicant had filed a complete response, to a Final Action on 02/11/2005, which included RCE fees of \$395.00.

In reviewing the Deposit Account, it has come to our attention fees were deducted from the Deposit Account for Fee Code 1801, a "Request for Continued Examination (RCE)" in the amount of \$790.00. As the original response was correctly submitted and the Office issued an Advisory Action in error, we respectfully request the Commissioner refund to deposit account No. 500534 the amount of \$790.00.

We enclose a copy of the response, faxed 05/12/2005, which indicates in the Remarks that it is in response to an Advisory Action issued in error. If you require additional information, please telephone this office.

Sincerely,

  
Donald R. Boys Reg. No. 35074

Central Coast Patent Agency, Inc.  
P.O. Box 187  
Aromas, CA 95004  
(831) 726-1457

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
Art Unit: 3628 Examiner: Poinvil, Frantzy

In Re: Srihari Kumar et al.  
Case: P3937  
Serial No.: 09/698,708  
Filed: 10/27/2000  
Subject: Interactive Activity Interface for Managing Personal Data and Performing  
Transactions over a Data Packet Network

Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

Dear Sir:

**Response C**

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**In the claims:**

All of the claims standing for examination are reproduced below.

1. (Previously presented) A software suite for enabling viewing and manipulation of multiple categories of aggregated data compiled from a plurality of data sources and accessible through a single interface operated on a data-packet-network, the data sources available for direct network-access through multiple access points available from within the interface comprising:

- a calendar module having at least one display interface for enabling viewing and manipulation of time and date-sensitive calendar data;

- a transaction module having at least one display interface for enabling viewing and manipulation of financially oriented account data;

- a portfolio tracking module having at least one display interface for enabling viewing and manipulation of investment oriented account data;

- a net-worth reporting module having at least one display interface for displaying a solution-oriented net-worth report compiled from the aggregated data;

- a bill-payment module having at least one display interface for enabling viewing and initiation of payment action regarding current billing data; and

- an account-alert module having at least one display interface for reporting time and event sensitive account alerts related to changes in account data due to occurring events or pre-configured time parameters;

- wherein the modules are selectively cross-linked with each other enabling the modules to share reporting aspects of the aggregated data and for enabling the user navigation between the modules.

2. (Original) The software suite of claim 1, wherein the data-packet-network is the Internet network.

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3. (Original) The software suite of claim 2, wherein the plurality of data sources comprise service-access points maintained by service-hosting entities offering services accessible through the Internet.

4. (Original) The software suite of claim 3, wherein the single interface is of the form of an HTML Web page served from a user-access point and downloaded by the accessing user to a Web browser.

5. (Original) The software suite of claim 4, wherein a personal computer is operated as a user-access device for accessing the HTML Web page.

6. (Original) The software suite of claim 4, wherein a handheld computer is operated as a user access-device for accessing the HTML Web page.

7. (Original) The software suite of claim 4, wherein the computational functions of the modules are enabled by a database reporting software communicating with the various modules through application-program-interface implementation.

8. (Original) The software suite of claim 7, wherein the multiple-access points are URLs embedded within the at least one interface associated with each of the plurality of modules.

9. (Original) The software suite of claim 8, further comprising:

a communications module having at least one interface for reporting existence of new communications events;

an account-bookmarks module having at least one interface for listing URLs of the plurality of data sources; and

a travel-planning module having at least one interface for enabling configuration and initiation of travel plans.

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10. (Cancelled)

11. (Previously presented) A network-based control system for controlling display, manipulation, and transaction parameters of aggregated data compiled from a plurality of data sources, the control capability extended through a single interface operated on a data-packet-network comprising:

a portal server operating on the network for enabling user-access to the system through the single interface, the single interface having a plurality of control and report modules for controlling categorization, viewing, reporting and manipulation aspects of the aggregated data and the control and report modules are selectively cross-linked with each other for the purpose of sharing reporting aspects of the aggregated data and for enabling user navigation between the modules;

a mass data repository for storing the aggregated data;

a database reporting software for accepting input from the software interface through individual ones of the control and report modules and for performing calculations, manipulations, and ordering transactions based on the received input; and

a user-access device connected to the network for accessing the portal server and receiving the single interface.

12. (Original) The network-based control system of claim 11, wherein the network is the Internet network.

13. (Original) The network-based control system of claim 12, further comprising multiple points of direct network-access to the plurality of data sources embedded into interfaces invoked by individual ones of the control and report modules.

14. (Original) The network-based control system of claim 13, wherein the aggregated data is personalized to an accessing user and limited to display in a personalized interface.

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15. (Original) The network-based control system of claim 14, wherein the multiple points of direct network access comprise embedded URLs.

16. (Original) The network-based control system of claim 15, wherein the control and report modules available through the single interface are capable of initiating service of at least one additional interface associated with an invoked module, the additional interface providing a more detailed accounting of the categorized data associated with the invoked module.

17. (Cancelled)

18. (Previously presented) The network-based control system of claim 11 wherein the user-access device is a personal computer.

19. (Previously presented) The network-based control system of claim 11 wherein the user access-device is a handheld computer.

20. (Previously presented) A method for enabling single-point control over various display, reporting, computation, and transactional aspects of data aggregated on behalf of the user from a plurality of data sources operating on a data-packet-network comprising steps of:

(a) providing a network-interface vehicle having a plurality of control and report modules embedded therein, the interface serving as the single-point control apparatus;

(b) connecting the plurality of control and report modules to a database reporting software through application-program-interface implementation;

(c) providing additional display interfaces launch-able from individual ones of the plurality of control report modules, the display interfaces containing interactive links to utilities for configuring the aspects of data display and for ordering transactions through the modules;

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(d) cross-linking selected modules with each other for enabling the modules to share reporting aspects of the aggregated data and for enabling the user navigation between the modules, and

(e) rendering the network-interface vehicle accessible to the user operating a remote data-access device connected to the network.

21. (Original) The method of claim 20, wherein the data-packet-network is the Internet network.

22. (Original) The method of claim 21 wherein in step (a), the network-interface vehicle is of the form of an HTML Web page served from a user-access point and downloaded by the accessing user to a Web browser.

23. (Original) The method of claim 22 wherein in step (d), the data-access device is a personal computer.

24. (Original) The method of claim 22 wherein in step (d), the data-access device is a handheld computer.

25. (Original) The method of claim 22 wherein in step (b), the computational functions of the modules are enabled and performed by the database reporting software.

26. (Original) The method of claim 25 wherein in step (c), the additional display interfaces are linked to the individual control modules through hyper linking.

27. (Cancelled)

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**REMARKS**

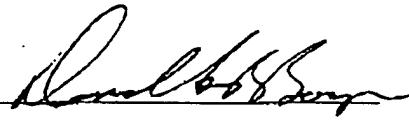
The present response is to the Advisory Action mailed in the above-referenced case on April 8, 2005, stating that the amendment after final failed to place the case in condition for allowance. This Advisory action was issued in error, as the applicant had caused to be filed a complete response to the Final action mailed December 20, 2004, together with a Request for Continued Examination and a check for the fees in the amount of \$395. The applicant respectfully requests reconsideration and that a thorough treatment of the response be made and either a new non-final action or a Notice of Allowance be issued in the case with a new shortened statutory period for response.

A copy of the check, the RCE, and the returned postcard from the previous response are included herewith.

If any fees are due beyond fees paid with this amendment, authorization is made to deduct those fees from deposit account 50-0534. If any time extension is needed beyond any extension requested with this amendment, such extension is hereby requested.

Respectfully Submitted,  
Srihari Kumar et al.

by



Donald R. Boys  
Reg. No. 35,074

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In re: Request for Refund  
Application No.: 09/698,708

### Certificate of Transmission under 37 CFR 1.8

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each submitted paper.

#### Total Sheets Transmitted - 9

1. Request for Refund to Deposit Account 500534 - 1 sheet
2. Copy of response as submitted 05/12/2005 - 7 sheets
3. Certificate of Transmission - 1 sheet

Following is a request for \$790.00 refund to Deposit Account 500534 for application 09/698,708.

If you do not receive all pages please call me at (831) 726-1457.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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